BIOL 199, 399 or BIOL 499 (circle one)  
Spring 2022

BIOLOGY 199 / 399 / 499 - Independent Study
APPLICATION FOR REGISTRATION

Name: ____________________________  School ________________________________

Last  First  MI

Penn ID # ___________________________________________  Email ________________

Applying for BIOL 399/499:

1. Attach a description of your proposed project to this form. See BIOL 399/499 Proposal
   Guidelines for more information. Your sponsor must sign both this form and the project
   proposal.

2. Registration for BIOL 399 and 499 is by permit only. The Biology Academic Office in Leidy 102
   will issue section numbers for those permitted to enroll in the course. If your project proposal
   is approved, you will be manually enrolled by the department.

3. If your sponsor (the person in whose lab you are working) is not a member of the
   undergraduate Biology department, you will need a Biology faculty member to be your co-
   sponsor. Your co-sponsor will also need to sign this form and proposal. Biology faculty
   members are not obligated to co-sponsor a project. If you cannot find a Biology faculty member
   to co-sponsor your project, there are alternatives to Biology independent study. In some cases,
   you may be allowed to register for College 99 (independent study in CAS), or BENF 99 if you are
   a Ben Franklin Scholar. You must obtain permission from the College in order to register
   for College99 or BENF 99. Please note that neither College99 nor BENF99 will count for credit
   towards the Biology Major.

Guidelines for BIOL 399/499:

Prior to enrollment in independent study, the faculty sponsor, faculty co-sponsor (if applicable), and
student must agree to the following policies by signing this document.

1. Faculty sponsors and co-sponsors must agree that the student can use all data generated
   during the project in preparing the required research paper and, potentially, an honors
   thesis. BIOL 399/499 students cannot work on projects in which data will be withheld due to
   commercial agreements or any other barrier to free dissemination of research information.

2. Projects that have a strictly clinical focus are usually not suitable for BIOL 399/499 credit. If
   your project is clinically focused, please apply to enroll in BIOL 199. Please note that a BIOL 199
   project is not eligible for an honors thesis.

3. If relevant to their projects, students must be trained in laboratory safety, radiation safety, and
   care of animals. The faculty sponsor is responsible for ensuring that the student receives this
   training.

4. A research paper is required at the end of all BIOL 199, 399 and 499 projects. Sponsors and
   co-sponsors are not able to waive this requirement.

5. The research paper is to be in the format of a journal article summarizing the student’s research
   and any relevant background literature. The paper should describe the research question
   and its importance, what methods were used, what results (data) were collected, and the
   significance of the results. All of the above information should be presented in the student’s
   own words. Students must explicitly state who is responsible for any data, graphs,
   photographs, or technical information included in their paper. If a BIOL 399 student has
   spent most of the semester working out problems with their methods—meaning that very little
data may have been collected—then emphasis in the paper should be placed on a description and analysis of the experimental approaches used and any modifications made to the original research plan.

6. A student is normally expected to devote 10 – 20 hours/week to the project. This time should include reading, research time, meetings with research mentors, and paper preparation.

7. A project period generally ends on the last reading day of the semester. Students should aim to submit the research paper by this deadline. However, the specific due date can be determined in consultation with the sponsor and co-sponsor and can extend past the last reading day, if necessary. Sponsors and co-sponsors should refrain from extending independent study beyond the end of the exam period.

8. The research paper must be submitted to both the sponsor and co-sponsor. Grades for BIOL 399/499 will be decided in consultation with the Biology department faculty co-sponsor. A grade of "A" signifies truly outstanding work during the semester and an outstanding research paper.

9. Sponsors may not waive any of the above requirements.

We acknowledge and agree to follow the policies outlined above.

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Student Signature

Sponsors: Please send an e-mail to the academic office (bio-undergraduate@sas.upenn.edu) and to the project co-sponsor describing the nature of the student's research, any reading that the student will be doing, and the frequency and nature of contact between you, other project overseers, and the student. Your email should also state whether or not you employ the student, and if so, explain how you will differentiate the work for which the student will be compensated from the student's unpaid Biol 399 or 499 research. Please note that credit will not be awarded if there are restrictions on the circulation of the final paper (or honors thesis) among the faculty of the Biology department.

__________________________   ____________________________
Sponsor's Name and Position/Department (must be Penn faculty)   Signature

__________________________
Sponsor's e-mail address

__________________________   ____________________________
Co-Sponsor's Name (if applicable)   Signature

This completed form, along with the project proposal, is due by the end of the day on Tuesday, January 18th.